

Docket No. HRT0256C2

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Applicants : H. S. Gifford III et al.

Serial No. : 10/790,540

Art Unit: 3731

Filed : March 1, 2004

Examiner: D.O. Reip

For : Devices and Methods for Performing a Vascular
Anastomosis

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being faxed to the
United States Patent & Trademark Office at 571-273-8300 on December 21, 2005

/Brian S. Tomko/

Brian S. Tomko, Reg No. 41,349
(Name of Registered Representative)

Honorable Commissioner of Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37
C.F.R. §§1.97-1.98, information relating to the above-identified
application is hereby disclosed. Inclusion of information in
this statement is not to be construed as an admission that this
information is material as that term is defined in 37 C.F.R.
§1.56(b).

Applicant(s) reserve(s) the right to establish the
patentability of the claimed invention over any of the
information provided herewith, and/or to prove that this
information may not be prior art, and/or to prove that this
information may not be enabling for the teachings purportedly
offered.

This statement should not be construed as a representation
that a search has been made, or that information more material
to the examination of the present patent application does not
exist.

12/22/2005 CCHAU1 00000033 100750 10790540

02 FC:1806 180.00 DA

8.0 P 4:36 Dec 21 2005

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☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☒ Please charge Deposit Account No. 10-0750/*10-0750/256/BST* the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of

either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/339,434, filed January 9, 2003.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/HRT0256C2/BST. This form is submitted in triplicate.

Respectfully submitted,

/Brian S. Tomko/
Brian S. Tomko
Reg. No. 41,349
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DATED: December 21, 2005

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	107790,540
	Filing Date	March 1, 2004
	First Named Inventor	H. Gifford III
	Group Art Unit	3731
	Examiner Name	D.O. Reip
	Attorney Docket Number	HRT0256C2

Sheet 1 of 8

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		1,251,258		Magill	12/1917	
		1,818,890		Bacon	7/1993	
		2,434,030		Yeomans	1/1948	
		2,453,056		Zack	11/1948	
		2,638,901		Sugarbaker	5/1953	
		2,707,783		Sullivan	5/1955	
		3,040,748		Klein, et al.	6/1962	
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		3,193,165		Akhaiaya, et al.	7/1965	
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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/790,540
Filing Date	March 1, 2004
First Named Inventor	H. Gifford III
Group Art Unit	3731
Examiner Name	D.O. Reip
Attorney Docket Number	HRT0256C2

Sheet 2 of 8						
			U.S. PATENT DOCUMENTS			
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		Kind Code ²	Number (if known)			
			1,251,258	Magill	12/1917	
			4,368,736	Kaster	1/1983	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/790,540
Filing Date	March 1, 2004
First Named Inventor	H. Gifford III
Group Art Unit	3731
Examiner Name	D.O. Reip
Attorney Docket Number	HRT0256C2

Sheet 3 of 8					
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		Number	Kind Code ² (if known)		
		5,348,259		Blanco, et al.	9/1994
		1,251,258		Magli	12/1917
		5,366,462		Kaster, et al.	11/1994
		5,476,354		Tovsky, et al.	12/1995
		5,522,834		Fonger, et al.	6/1996
		5,549,619		Peters, et al.	8/1996
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		1,756,870		Treat	4/30
		2,453,056		Zack	11/1948
		3,570,497		Lemola	3/71
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		4,233,981		Schomacher	11/18/80
		4,466,436		Lee	5/84
		4,582,354		Rothfuss	5/88
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		5,330,503		Yoon	7/94
		5,395,030		Kuramoto et al.	3/95
		5,403,333		Kaster et al.	4/95
		5,456,714		Owen	10/10/95
		5,489,295		Piplani et al.	2/6/96
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10790,640
Filing Date	March 1, 2004
First Named Inventor	H. Gifford III
Group Art Unit	3731
Examiner Name	D.O. Reip
Attorney Docket Number	HRT0256C2

Sheet 4 of 8						
			U.S. PATENT DOCUMENTS			
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ^a (if known)			
		5,709,335		Heck	1/98	
		1,251,258		Magill	12/1917	
		5,720,776		Chuter et al.	2/24/98	
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		5,246,443		Mai	09-21-1993	
		5,474,557		Mai	12-12-1995	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/790,540
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First Named Inventor	H. Gifford III
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Sheet 5 of 7

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ²
		Office ³	Number ⁴	KindCode ⁵				
		EP	0197665			4/1985		
		GB	2018418			5/1985		
		NL	7711347			4/1979		
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		EP	0 539 237	A1	Cock, Inc.	28/4/93		
		EP	0 637 484	A1	Endovascular Technologies, Inc.	2/8/95		
		EP	0 712 614	A1	Advanced Cardiovascular Systems, Inc.	5/22/96		
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		WO	89/08433	A1	Lazarus, Harrison M.	9/21/89		
		WO	96/14808	A1	Advanced Cardiovascular Systems, Inc.	5/23/96		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>	Application Number	10/790,540
	Filing Date	March 1, 2004
	First Named Inventor	H. Gifford III
	Group Art Unit	3731
	Examiner Name	D.O. Reip
	Attorney Docket Number	HRT0256C2

Sheet 6 of 8

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials	Cite No.	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		ANDROSOV, "New Method of Surgical Treatment of Blood Vessel Lesions," Arch. Surg. 1958;73:282-285.	
		BERGGREN et al., "Clinical Experience with UNILINK 3M Precise Microvascular Anastomotic Device," Scand J Plast Reconstr Hand Surg. 1993;27:35-39	
		COOPER et al., "Development of the Surgical Stapler with Emphasis on Vascular Anastomosis," NY Acad. Sci. 1983;28:365-377.	
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		GOETZ et al., "Internal Mammary-coronary Artery Anastomosis: A Nonsuture Method Employing Tantalum Rings," J Thorac Card Surg. 1981;41(3):378-388.	
		GOTTLÖB et al., "Anastomoses of Small Arteries and Veins by Means of Bushings and Adhesive," J Card Surg. 1988;9:337-341.	
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		HOLT et al., "A New Technique for End-to-end Anastomosis of Small Arteries," Surg Forum. 1980;11:242.	
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		MILLER, "The Russian Stapling Device," Acad Sci. 1963;28:378-381.	
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		OLEARCHYK, "Vasilii I. Kolesov-A Pioneer of Coronary Revascularization by Internal Mammary-coronary Artery Grafting," J Thorac Surg. 1988;96(1):13-18.	
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		VOGELFANGER et al., "A Concept of Automation in Vascular Surgery: A Preliminary Report on a Mechanical Instrument for Arterial Anastomosis," Can J Surg. 1958;58:282-285.	
		Nazari et al., "Expandable Prosthesis for Sutureless Anastomosis in Thoracic Aorta Prosthetic Substitution", European Journal of Cardiothoracic Surgery, Vol. 10, No. 11, 1996, pp1003-1009	
		J. Rosch et al., "Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use", Annals of Radiology, 1998, 31, 2, pp. 100-104	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.
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PTD/SB/DBA (08-00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 8 of 8

Application Number	10/790,540
Filing Date	March 1, 2004
First Named Inventor	H.S. Gifford III
Group Art Unit	3731
Examiner Name	D.O. Reip
Attorney Docket Number	HRT0256C2

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		5,797,920	Kim	8/25/93	
		5,507,769	Marin et al.	4/16/96	
		5,522,880	Barone et al.	6/4/96	
		5,545,214	Stevens	8/13/96	
		5,820,628	Middleman et al.	10/13/98	
		5,830,222	Makower	11/13/98	
		5,881,943	Heck et al.	3/16/99	
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Krumme

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		Office ² Number ³ KindCode ⁵				
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